“ELECTORAL INTEGRITY,”
“DEPENDENCE CORRUPTION,” AND
WHAT’S NEW UNDER THE SUN

RICHARD L. HASEN*

“What has been is what will be, and what has been done is what will be done, and there is nothing new under the sun.” –Ecclesiastes 1:9

Justice Breyer’s dissent in *McCutcheon v. Federal Election Commission*,1 the Supreme Court case striking down some federal contribution limits and making it harder to uphold others, valiantly offers a variety of theories supporting the constitutionality of campaign finance limits. Especially intriguing was Justice Breyer’s citation to Dean Robert Post’s “electoral integrity” argument from his *Citizens Divided* book based on Post’s Tanner lectures.2

Justice Breyer’s citation to Dean Post’s argument was so intriguing because the book was not yet publicly available.3 How Justice Breyer got a copy to cite has not been revealed,4 but it made me all the more curious to see what Dean Post had come up with to justify Justice Breyer’s deviation from the Justices’ ordinary practice of citing only publicly available materials or making available in the Clerk of Court’s file any materials that are not easily available.

Alas, as Professor Justin Levitt’s exceptionally polite but

---

4  Dean Post said the question should be directed to Justice Breyer. He explained that Justice Breyer was present when Dean Post presented a version of his lectures and the text of the lectures was available online. “Justice Breyer evidently liked the thesis of the lectures, and, knowing that the book was about to be published . . ., must have requested (and received) an advance copy of the published version. Cite checkers at the Court evidently determined the correct page numbers.” Ronald Collins, *Ask the Author: Robert Post — Citizens Divided*, SCOTUSBlog (Aug. 11, 2014, 10:44 AM), http://www.scotusblog.com/2014/08/ask-the-author-robert-post-citizens-divided/#more-216555.
trenchant critique of Dean Post’s “electoral integrity” argument convincingly demonstrates, this electoral integrity argument is simply a variation on a theme which has been around since at least the 1976 case of Buckley v. Valeo: a public confidence argument for campaign finance limitations. In Buckley, the Court couched this interest as an “appearance of corruption” argument: “Congress could legitimately conclude that the avoidance of the appearance of improper influence is also critical . . . if confidence in the system of representative Government is not to be eroded to a disastrous extent.” The Court in McCutcheon explicitly held that the appearance of corruption interest could not justify the aggregate contribution limits challenged in the case (and in so doing it appeared to narrow the scope of the appearance of corruption argument).

What’s worse, as both Professor Levitt and Professor Pam Karlan (in her response to Dean Post in the Citizens Divided book) amply demonstrate, social science has not found a convincing link between public confidence and the state of campaign finance laws. That is, while the public likes campaign-finance limits and while it has a low opinion of Congress, the two views are not necessarily causally related: Stricter campaign-finance laws are not correlated with higher public confidence in government.

---

6 Buckley v. Valeo, 424 U.S. 1, 27 (1976) (internal quotations omitted).
7 McCutcheon, 134 S. Ct. at 1451 (“[B]ecause the Government’s interest in preventing the appearance of corruption is equally confined to the appearance of quid pro quo corruption, the Government may not seek to limit the appearance of mere influence or access.”); see also Richard L. Hasen, Legislation, Statutory Interpretation, and Election Law: Examples and Explanations 479 (2014) (describing the bounds of the government’s anticorruption interest in campaign finance regulation); Richard L. Hasen, Opening the Political Money Chutes, REUTERS (Apr. 7, 2014), http://blogs.reuters.com/great-debate/2014/04/07/opening-the-political-money-chutes/ (describing McCutcheon as a “blockbuster case”).
8 Levitt, supra note 5, at 76–78.
9 Pamela S. Karlan, Citizens Deflected: Electoral Integrity and Political Reform, in POST, supra note 2, at 144.
That is not to say that there could never be a link. Dean Post may be wrong empirically today but right in predicting the future: It might be that public confidence in government will decline further because of the explosion of outside money wrought by the Roberts Court’s campaign-finance cases.

Dean Post is one of the sharpest constitutional minds in the country. His book is beautifully written and tells a compelling historical tale of campaigns and speech in the United States. So why would he offer in the prestigious Tanner Lectures as his grand solution to the campaign-finance problem a government interest justifying reform that the Court has already rejected and that is largely unsupported by social-science evidence? And why would Justice Breyer so eagerly latch on to this interest, viewing it as important enough to cite before Dean Post’s book was even available?

My sense is that Dean Post was looking to offer a different label or doctrinal hook to allow the Court (or more likely, a progressive Court, which could well come after the Roberts Court) to reverse the *Citizens United* line of cases consistent with an appearance of fidelity to First Amendment doctrine.\(^{11}\) Upholding a “new” interest in “electoral integrity” would not require the Court to outright reject the reasoning in earlier cases, making it perhaps more palatable for a Court that would not want to be criticized (as the Roberts Court sometimes is)\(^{12}\) for overruling precedent.

I see a parallel approach to campaign-finance reform offered by Professor Lawrence Lessig of Harvard. Like Dean Post, Professor Lessig has one of the sharpest constitutional minds in the country. Like Dean Post, Professor Lessig has offered what he claims is a novel constitutional theory to justify campaign finance limits: “dependence corruption.”\(^{13}\) And like Dean Post, Professor Lessig appears to have repackaged an old interest under a new label. In Professor Lessig’s case, the “dependence corruption” interest is one in promoting political equality, an interest the Supreme Court has

---

\(^{11}\) Dean Post made a similar point in a recent interview, noting that his book provides the liberal Justices with “a way to translate its commitment to representative integrity into terms that are compatible with the discursive democracy established by the First Amendment. The value of electoral integrity captures much of what attracts liberal Justices to the importance of maintaining the integrity of our electoral system.” Collins, supra note 4.


repeatedly (and in my view wrongly) rejected since the Buckley case. Professor Lessig denies the two interests are the same, even though Dean Post, I, and others have pressed the point.14 His audience, at least at first, seemed not a future progressive Supreme Court majority but a conservative Justice interested in originalism: Professor Lessig has spent much time trying to show that “dependence corruption” was a concern of the Founders.15 In McCutcheon, however, the Court ignored the originalist arguments Professor Lessig put forward in an amicus brief.16

When it comes down to it, there are really only three major arguments which have been advanced in the last 40 years to justify limits on money in politics against a charge that such limits violate First Amendment rights of speech and association: an anticorruption interest, a political-equality interest, and a public-confidence interest. To be sure, there has been great fighting over what “corruption” means, and as Professor Levitt shows,17 the Supreme Court majority has simply closed off the presentation of evidence of corruption to justify campaign-finance limits. Further, there are a variety of types of corruption, political equality, and public confidence arguments. But it is really just these three interests debated by the Court and commentators: nothing new under the sun.18


15 See, e.g., Lessig, supra note 13 (arguing that dependence corruption conforms with an originalist understanding of corruption).

16 See Brief for Professor Lawrence Lessig as Amicus Curiae Supporting Appellee, McCutcheon v. FEC, 2013 WL 3874388 (2013) (detailing Professor Lessig’s originalist arguments); Lawrence Lessig, Originalists Making It Up Again: McCutcheon and ‘Corruption,’ DAILY BEAST (Apr. 2, 2014), http://www.thedailybeast.com/articles/2014/04/02/originalists-making-it-up-again-mccutchee-and-corruption.html (pointing out that neither the government nor Justice Breyer address the “possible originalist inconsistency” in the McCutcheon majority’s narrow understanding of “corruption”).

17 See Levitt, supra note 5, at 71(emphasizing that Post finds that “recent campaign finance jurisprudence . . . has ignored the unignorable concept of electoral integrity”).

18 A fourth interest, preserving the time of elected officials, would appear to support raising or eliminating contribution limits, not restricting them. See Vincent Blasi, Free Speech and the Widening Gyre of Fund-Raising: Why Campaign Spending Limits May Not Violate the First Amendment After All, 94 COLUM. L. REV. 1281, 1282–83 (1994) (describing the importance of protecting a candidate’s time from fundraising pressures). Professor Nicholas Stephanopoulos is proposing a new interest, “alignment,” to justify limits, and it is unclear if and how courts and commentators will view the relationship of this interest to the three existing interests. Nicholas O. Stephanopoulos, Aligning
It may well be that if and when the Supreme Court reverses *Citizens United* and the rest of its deregulatory jurisprudence, the Justices will latch on to something like “electoral integrity” or “dependence corruption” to explain the latest reversal. Maybe even some Justices will actually believe that these interests represent new arguments not before considered by the Court. But it would be far better from the point of view of coherent doctrine and sound policy for the Court to transparently and forthrightly relate these new arguments to the old, and to explain where the Court went wrong before and what path it should take going forward.